

**Mississippi Department of Education
School Improvement Grant (SIG) 1003(g)
SIG Enhancement Application**

Partial Funding by the American Recovery and Reinvestment Act



**Office of School Recovery
P. O. Box 771
Jackson, MS 39205
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March 2, 2012

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INSTRUCTIONS

AVAILABILITY OF FUNDS

All Cohort 1 and 2 SIG schools have the opportunity to apply for additional funds for the 2012-2013 school year. Requests must not cause a school to exceed a total SIG award of \$2M per year. For example, if a school received a Year 2 award of \$1.8M, the school could request up to \$200,000 enhancement dollars in Year 2 to implement a permissible activity as referenced in the federal guidance. ***Any additional dollars are for the 2012-2013 school year only.***

USE OF REQUESTED FUNDS

Funds should be requested for initiatives that can be effectively implemented in a one-year period with the emphasis on activities that would increase student achievement, build leadership capacity of the principals, and build instructional capacity of the teachers. Technology to support SIG goals, for example, is a one-time purchase that can be used in future years. Funds should not be used to create new positions and programs that cannot be sustained.

Requests must align with at least one of the required or optional elements of the school's selected intervention model. These elements are provided below for the turnaround and transformation models. In requesting funds, the district should also consider components of the existing school plan so that the new request supports a cohesive, comprehensive plan.

Additionally, these dollars are ARRA dollars. Districts must comply with ARRA requirements in the use of these funds.

TURNAROUND REQUIRED ELEMENTS FROM THE GUIDANCE

1. Replace the principal and grant the newly hired principal sufficient operational flexibility (including in staffing, calendars/time, and budgeting) to implement fully a comprehensive approach in order to substantially improve student achievement outcomes and increase high school graduation rates;
2. Use locally adopted competencies to measure the effectiveness of staff who can work within the turnaround environment to meet the needs of students,
 - a. Screen all existing staff and rehire no more than 50 percent; and
 - b. Select new staff;
3. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in the turnaround school;

4. Provide staff ongoing, high-quality job-embedded professional development that is aligned with the school's comprehensive instructional program and designed with school staff to ensure that they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies;
5. Adopt a new governance structure, which may include, but is not limited to, requiring the school to report to a new "turnaround office" in the LEA or SEA, hire a "turnaround leader" who reports directly to the Superintendent or Chief Academic Officer, or enter into a multi-year contract with the LEA or SEA to obtain added flexibility in exchange for greater accountability;
6. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards;
7. Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students;
8. Establish schedules and implement strategies that provide increased learning time; and
9. Provide appropriate social-emotional and community-oriented services and supports for students.

Optional Elements from the Guidance

B-2. In addition to the required elements, what optional elements may also be a part of a turnaround model?

In addition to the required elements, an LEA implementing a turnaround model may also implement other strategies, such as a new school model or any of the required and permissible activities under the turnaround intervention model described in the final requirements. It could for example, implement a high-quality preschool program that is designed to improve the health, social-emotional outcomes, and school readiness for high-need young children or replace a comprehensive high school with one that focuses on science, technology, engineering, and mathematics (STEM). The key is that these actions would be taken within the framework of the turnaround model and would be in addition to, not instead of, the actions that are required as part of a turnaround model.

B-8. What are some examples of social-emotional and community-oriented services and supports for students that may be provided through Response to Intervention?

Social-emotional and community-oriented services that may be offered to students in a school implementing a turnaround model may include, but are not limited to: (a) safety programs; (b) community stability programs that reduce the mobility rate of students in the schools; or (c) family and community engagement programs that support a range of activities designed to build the capacity of parents and school staff to work together to improve student academic achievement, such as a family literacy program for parents who need to improve their literacy skills in order to support their children's learning.

If funds are not reasonably available from other public or private sources to support the planning and implementation of the services and the LEA has engaged in a comprehensive needs assessment, SIG funds might be used to hire a coordinator or to contract with an organization to facilitate the delivery of health, nutrition, and social services to the school's students in partnership with local service providers. SIG funds also might be used for (1) professional development necessary to assist teachers, pupil services personnel, other staff, and parents in identifying and meeting the comprehensive needs of students, and (2) as a last resort when funds are not reasonably available from other public or private sources, the provision of basic medical equipment, such as eyeglasses and hearing aids.

An LEA should examine the needs of students in the turnaround school to determine which social-emotional and community-oriented services will be appropriate and useful under the circumstances. Further, like all other activities supported with SIG funds, any services provided must address the model for the school and must be reasonable and necessary.

TRANSFORMATION REQUIRED ELEMENTS FROM THE GUIDANCE

1. Replace the principal who led the school prior to commencement of the transformation model;
2. Use rigorous, transparent, and equitable evaluation systems for teachers and principals that
 - a. Take into account data on student growth as a significant factor as well as other factors, such as multiple observation-based assessments of performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and
 - b. Are designed and developed with teacher and principal involvement;
3. Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so;
4. Provide staff ongoing, high-quality, job-embedded professional development that is aligned with the school's comprehensive instructional program and designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies;
5. Implement such strategies as financial incentives and increased opportunities for promotion and career growth that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation model;
6. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards;
7. Promote the continuous use of student data (such as from formative, interim, and summative assessments) in order to inform and differentiate instruction to meet the academic needs of individual students;

8. Establish schedules and strategies that provide increased learning time;
9. Provide ongoing mechanisms for family and community engagement;
10. Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and
11. Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school transformation organization or an EMO).

Optional Elements from the Guidance

In addition to the required activities for a transformation model, an LEA may also implement other strategies such as:

1. Providing additional compensation to attract and retain staff with the skills necessary to meet the needs of students in a transformation school;
2. Instituting a system for measuring changes in instructional practices resulting from professional development;
3. Ensuring that the school is not required to accept a teacher without the mutual consent of the teacher and principal, regardless of the teacher's seniority;
4. Conducting periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective;
5. Implementing a schoolwide "response-to-intervention" model;
6. Providing additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content;
7. Using and integrating technology-based supports and interventions as part of the instructional program;
8. In secondary schools—
 - a. Increasing rigor by offering opportunities for students to enroll in advanced coursework, early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework;
 - b. Improving student transition from middle to high school through summer transition programs or freshman academies;
 - c. Increasing graduation rates through, for example, credit recovery programs, re-engagement strategies, smaller learning communities, competency-based instruction

and performance-based assessments, and acceleration of basic reading and mathematics skills;

- d. Establishing early-warning systems to identify students who may be at risk of failing to achieve to high standards or to graduate;
- 9. Partnering with parents and parent organizations, faith- and community-based organizations, health clinics, other State or local agencies, and others to create safe school environments that meet students' social, emotional, and health needs;
- 10. Extending or restructuring the school day so as to add time for such strategies as advisory periods that build relationships between students, faculty, and other school staff;
- 11. Implementing approaches to improve school climate and discipline, such as implementing a system of positive behavioral supports or taking steps to eliminate bullying and student harassment;
- 12. Expanding the school program to offer pre-kindergarten;
- 13. Allowing the school to be run under a new governance arrangement, such as a transformation division within the LEA or SEA; or
- 14. Implementing a per-pupil school-based budget formula that is weighted based on student needs.

TIMELINE

The LEA must submit one (1) written application and an electronic copy saved to a CD in "read only" PDF format. The CD must be clearly labeled to indicate the district name, application name, and the due date of the application. By submitting the CD, the district is assuring that the information contained in the original copy and the electronic version are one in the same and the MDE may use either for evaluation purposes. The LEA must submit the application by 3:30 P.M., **April 4, 2012** to the following address:

Hand Deliver Proposals to: Lorraine Wince
Office of Procurement
Mississippi Department of Education, Central High School Building
359 North West Street—Suite 307
Jackson, MS

Mail Proposals to: Lorraine Wince
Office of Procurement
Mississippi Department of Education
Post Office Box 771
Jackson, MS 39201-0771

Ship Proposals to: Lorraine Wince
(FedEx, UPS, etc.) Office of Procurement
Mississippi Department of Education
359 North West Street
Jackson, MS 39201

The LEA is responsible for ensuring that the application is delivered by the deadline and assumes all risks of delivery. Applications and modifications received after the time set in the application will be considered late and will not be accepted or considered for an award. At the time of receipt of the application, the applications will be date stamped, and recorded in Suite 307 of Central High School Building. Incomplete applications will not be evaluated and will not be returned for revisions.

Grant process will align with the following tentative timeline:

MONTH	ACTION
December 2011	State SIG Enhancement application submitted to USDE
March 2, 2012	Districts receive SIG Enhancement application after USDE approval; applications are posted to the MDE website
April 4, 2012	SIG Enhancement applications submitted to MDE
April 2012	SIG Enhancement applications reviewed
June 2012	SIG Enhancement Awards submitted for approval to SBE

APPLICATION REQUIREMENTS

As part of the SIG Enhancement application, districts will need to submit the following items to the Office of School Recovery for *each* SIG school seeking enhancement funds:

1. Cover Page

Complete the cover page and attach all other documents *in order* after this page.

2. Checklist

Complete and attach the checklist to ensure a complete application is submitted.

3. Assurances

Sign and return the LEA and ARRA assurances.

4. Request for Additional Funds

Complete the request beginning on page 23. All sections are required regardless of the intervention model a school is implementing.

5. Proposed Budget and Budget Narrative

Enhancement DISTRICT Budget and Narrative
Enhancement SCHOOL Budget and Narrative

Budgets should reflect only the request for additional funds; narratives should align with the proposed SIG enhancement plan and the needs assessment.

For MDE use only

Date Received: _____

COVER PAGE

District Name:

District State Code:

District NCES Identification Code:

School Name:

School NCES Identification Code:

Address:

District Contact:

Phone:

Email:

Fax:

<i>Funding Type</i>	<i>District (LEA)</i>	<i>School</i>	<i>Total Award:</i>
<i>2012-2013 Current Award</i>			
<i>Additional Award Request</i>			
<i>TOTAL</i> No Grant May Exceed a Total of \$2M.			

Mississippi Department of Education Approval

☐ ***Approved***

☐ ***Not Approved***

APPROVED AMOUNT

_____ Bureau Manager, OSR _____ Bureau Director, OSR

_____ Date _____ Date

1003(g) ENHANCEMENT CHECKLIST

INSTRUCTIONS: Complete a checklist for each applicant school.

District:

School:

Intervention Model:

☐ Transformation

☐ Turnaround

Item	For LEA use	For MDE use
Cover Page	<input type="checkbox"/> Completed and attached.	<input type="checkbox"/> Completed and attached. <input type="checkbox"/> Not completed or not attached.
Checklist	<input type="checkbox"/> Completed and attached.	<input type="checkbox"/> Completed and attached. <input type="checkbox"/> Not completed or not attached.
Assurances	<input type="checkbox"/> Completed and attached.	<input type="checkbox"/> Completed and attached. <input type="checkbox"/> Not completed or not attached.
Request for Additional Funds <i>Complete and attach request for each applicant school.</i>	<input type="checkbox"/> Completed and attached.	<input type="checkbox"/> Proposal attached. <input type="checkbox"/> Proposal not attached.
SIG Budgets <i>Complete and attach the SIG Budget pages for each applicant school.</i>	<input type="checkbox"/> Completed and attached.	<input type="checkbox"/> All budget pages completed and attached and relevant. <input type="checkbox"/> Missing one or more budget pages.

FOR MDE USE ONLY

Notes: _____

ASSURANCES

Certain terms and conditions are required for receiving funds under the School Improvement Grant and through the Mississippi Department of Education (MDE); therefore, by signing the following assurances, the grantee agrees to comply with all applicable federal, state, and local laws, ordinances, rules and regulations, provisions and public policies required and all assurances in the performance of this grant as stated below.

School Improvement Grant (SIG) 1003(g)

The LEA must sign and return a copy of the following assurances as part of its application.

The LEA will use its School Improvement Grant to implement fully and effectively one of the following interventions in each of its Tier I and Tier II schools identified on the LEA grant application: (A) Turnaround Model; (B) Closure Model; (C) Transformation Model. LEA implementation of intervention models should adhere to all regulations in accordance with the final requirements for School Improvement Grants under section 1003(g) of Title I of the Elementary and Secondary Education Act (<http://www2.ed.gov/programs/sif/2010-27313.pdf>).

The LEA will establish annual goals approved by the SEA for student achievement on the State's assessments in both reading/language arts and mathematics and measure progress on the leading indicators in Section III of the final requirements in order to monitor and hold accountable each Tier I and Tier II school that it serves with school improvement funds, and establish goals (approved by the SEA) to hold accountable its Tier III schools that receive school improvement funds.

The LEA will report to the SEA school-level data that is required under Section III of the final requirements.

- Number of minutes within the school year and school day;
- Student participation rate on State assessments in reading/language arts and in mathematics, by student subgroup;
- Number and percentage of students completing advanced coursework (e.g., AP/IB), early-college high schools, or dual enrollment classes;
- Dropout rate;
- Student attendance rate;
- Discipline incidents;

- Truants;
- Distribution of teachers by performance level on an LEA’s teacher evaluation system;
- Teacher attendance rate;
- Percentage of students at or above each proficiency level on State assessments in reading/language arts and mathematics, by grade and by student subgroup;
- Average scale scores on State assessments in reading/language arts and mathematics, by grade, for the “all students” group, for each achievement quartile, and for each subgroup;
- Percentage of limited English proficient students who attain English language proficiency;
- School improvement status and AYP targets met and missed;
- College enrollment rates; and
- Graduation rate.

MDE will make grant renewal decisions for each school based on whether the school has satisfied the following requirements in regards to its annual performance targets for leading and achievement indicators:

- *Leading Indicators*—A school must meet 6 of 9 leading indicator goals.
- *Achievement Indicators*—The school must also meet a minimum of 50% of applicable achievement indicators.

MDE may grant exceptions to this rule only if highly unusual, extenuating circumstances occur.

State Assurances

LEAs will establish an LEA-based School Improvement Officer or School Improvement Office that will be responsible for taking an active role in the day-to-day management of turnaround efforts at the school level in each identified Tier I, Tier II and Tier III school to be served by the application and for coordinating with the SEA.

LEAs that commit to serve one or more Tier I, Tier II, or Tier III schools that do not receive Title I, Part A funds are to ensure that each of those schools receive all of the State and local funds it would have received in the absence of the School Improvement Grant funds. Further, LEAs cannot use School Improvement Grant (SIG) funds to support district-level activities for schools that are not receiving SIG funds.

Awarded programs understand future funding opportunities may be hindered if per this or any grant opportunity/contract with MDE have not been met and/or reports are not submitted in a timely fashion.

Changes

This agreement will not be modified, altered, or changed except by mutual agreement by an authorized representative(s) of each party to this agreement and must be confirmed in writing through the Mississippi Department of Education grant modification procedures.

Independent Grantee

The grantee shall perform all services as an independent grantee and shall discharge all of its liabilities as such. No act performed or representation made, whether oral or written, by grantee with respect to third parties shall be binding on the Mississippi Department of Education.

Termination

The Mississippi Department of Education, by written notice, may terminate this grant, in whole or in part, if funds supporting this grant are reduced or withdrawn. To the extent that this grant is for services, and if so terminated, the Mississippi Department of Education shall be liable only for payment in accordance with payment provision of this grant for services rendered prior to the effective date of termination.

The Mississippi Department of Education, in whole or in part, may terminate this grant for cause by written notification. Furthermore, the Mississippi Department of Education and the grantee may terminate this grant, in whole or in part, upon mutual agreement.

Mississippi Department of Education may cancel an award immediately if the State finds that there has been a failure to comply with the provisions of an award, that reasonable progress has not been made or that the purposes for which the funds were awarded/granted have not been or will not be fulfilled.

Either the Mississippi Department of Education or the grantee may terminate this agreement at any time by giving 30 days written notice to the other party of such termination and specifying the effective date thereof. The grantee shall be paid an amount which bears the same ratio to the total compensation as the services actually performed bear to the total services of the grantee covered by the agreement, less payments of compensation previously made.

Access to Records

The grantee agrees that the Mississippi Department of Education, or any of its duly authorized representatives, at any time during the term of this agreement, shall have access to, and the right to audit and examine any pertinent books, documents, papers, and records of the grantee related to the grantee's charges and performance under this agreement. Such records shall be kept by grantee for a period of five (5) years after final payment under this agreement, unless the Mississippi Department of Education authorizes their earlier disposition. Grantee agrees to

refund to the Mississippi Department of Education any overpayments disclosed by any such audit. However, if any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 5-year period, the records shall be retained until completion of the actions and resolution of all issues, which arise from it.

Laws

This agreement, and all matters or issues collateral to it, shall be governed by, and construed in accordance with the laws of the State of Mississippi.

Legal Authority

The grantee assures that it possesses legal authority to apply for and receive funds under this agreement.

Equal Opportunity Employer

The grantee shall be an equal opportunity employer and shall perform to applicable requirements; accordingly, grantee shall neither discriminate nor permit discrimination in its operations or employment practices against any person or group of persons on the grounds of race, color, religion, national origin, handicap, or sex in any manner prohibited by law.

Copyrights

The grantee (i) agrees that the Mississippi Department of Education shall determine the disposition of the title and the rights under any copyright by grantee or employees on copyrightable material first produced or composed under this agreement; and, (ii) hereby grants to the MDE a royalty free, nonexclusive, irrevocable license to reproduce, translate, publish, use and dispose of, to authorize others to do so, all copyrighted or copyrightable work not first produced or composed by grantee in the performance of this agreement, but which is incorporated in the material furnished under the agreement, provided that such license shall be only to the extent grantee now has, or prior to the completion or full final settlements of agreement may acquire, the right to grant such license without becoming liable to pay compensation to others solely because of such grant.

Grantee further agrees that all material produced and/or delivered under this grant will not, to the best of the grantee's knowledge, infringe upon the copyright or any other proprietary rights of any third party. Should any aspect of the materials become, or in the grantee's opinion be likely to become, the subject of any infringement claim or suite, the grantee shall procure the rights to such material or replace or modify the material to make it non-infringing.

Personnel

Grantee agrees that, at all times, employees of the grantee furnishing or performing any of the services specified in this agreement shall do so in a proper, workmanlike, and dignified manner.

Assignment

Grantee shall not assign or grant in whole or in part its rights or obligations under this agreement without prior written consent of the Mississippi Department of Education. Any attempted assignment without said consent shall be void and of no effect.

Availability of Funds

It is expressly understood and agreed that the obligation of the Mississippi Department of Education to proceed under this agreement is conditioned upon the appropriation of funds by the Mississippi State Legislature and the receipt of state and/or federal funds. If the funds anticipated for the continuing fulfillment of the agreement are, at anytime, not forthcoming or insufficient, either through the failure of the federal government to provide funds or of the State of Mississippi to appropriate funds or the discontinuance or material alteration of the program under which funds were provided or if funds are not otherwise available to the Mississippi Department of Education (MDE), the MDE shall have the right upon ten (10) working days written notice to the grantee, to reduce the amount of funds payable to the grantee or to terminate this agreement without damage, penalty, cost, or expenses to MDE of any kind whatsoever. The effective date of reduction or termination shall be as specified in the notice of reduction or termination.

Mississippi Ethics

It is the responsibility of the grantee to ensure that subcontractors comply with the Mississippi Ethics Law in regard to conflict of interest. A statement attesting to said compliance shall be on file by the grantee.

American Recovery and Reinvestment Act of 2009

The subgrantee agrees to the reporting and registration requirements of the American Recovery and Reinvestment Act as outlined in Exhibit 1, Exhibit 2, and Attachments N and U that are included.

Other Assurances

The LEA/grantee adheres to the applicable provisions of the Education Department General Administrative Regulations (EDGAR): 34 CFR Subtitle A, Parts 1-99.

The grantee adheres to the applicable regulations of the Office for Civil Rights, U.S. Department of Education: 34 CFR Subtitle B, Parts 100-199.

The grantee adheres to 2 CFR part 225, Office of Management and Budget (Cost Principles for State, Local, and Indian Tribal Governments).

The grantee assures that salary and wage charges will be supported by proper time reporting documentation that meets the requirements of 2 CFR part 225, OMB Circular A-87.

Superintendent (Typed Name, and Signature)	Date
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LEA Board Chair (Typed Name, and Signature)	Date
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Federal Programs Coordinator (Typed Name, and Signature)	Date
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Business Manager (Typed Name, and Signature)	Date
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ARRA AWARD TERMS

Exhibit 1

Reporting and Registration Requirements Under Section 1512 of the American Recovery and Reinvestment Act of 2009.

The recipient¹ agrees to the following reporting and registration requirements of Section 1512 of the American Recovery and Reinvestment Act and in accordance with 2 CFR § 176.50, if applicable:

- (a) This award requires the recipient to complete projects or activities which are funded under the American Recovery and Reinvestment Act of 2009 (Recovery Act) and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.
- (b) The reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by the Recovery Act.
- (c) Recipients and their first-tier recipients must maintain current registrations in the Central Contractor Registration (<http://www.ccr.gov>) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (<http://www.dnb.com>) is one of the requirements for registration in the Central Contractor Registration.
- (d) The recipient shall report the information described in section 1512(c) of the Recovery Act using the reporting instructions and data elements that will be provided online at <http://www.FederalReporting.gov> and ensure that any information that is pre-filled is corrected or updated as needed.
- (e) The contractor shall ensure that all subcontracts and other contracts for goods and services for an ARRA-funded project have the mandated provisions of this directive in their contracts. Pursuant to title XV, Section 1512 of the ARRA, the State shall require that the contractor provide reports and other employment information as evidence to document the number of jobs created or jobs retains by this contract from the contractor's own workforce and any sub-contractors. No direct payment will be made for providing said reports, as the cost for same shall be included in the various items in the contract.

¹ As used here and hereafter, recipient means "any entity other than an individual that receives Recovery Act funds in the form of a grant, cooperative agreement or loan directly from the Federal Government." 2 CFR § 176.30.

Required Use of American Iron, Steel, and Manufactured Goods Not Covered Under International Agreements Under Section 1605 of the American Recovery and Reinvestment Act of 2009.

The recipient agrees to the following required use of American Iron, Steel, and Manufactured Goods of Section 1605 of the of the American Recovery and Reinvestment Act and in accordance with 2 CFR §176.140 when awarding Recovery Act funds for construction, alteration, maintenance, or repair of a public building or public work that does not involve iron, steel, and/or manufactured goods covered under international agreements, if applicable:

(a) *Definitions.* As used in this award term and condition—

(1) *Manufactured good* means a good brought to the construction site for incorporation into the building or work that has been—

- (i) Processed into a specific form and shape; or
- (ii) Combined with other raw material to create a material that has different properties than the properties of the individual raw materials.

(2) *Public building and public work* means a public building of, and a public work of, a governmental entity (the United States; the District of Columbia; commonwealths, territories, and minor outlying islands of the United States; State and local governments; and multi-State, regional, or interstate entities which have governmental functions). These buildings and works may include, without limitation, bridges, dams, plants, highways, parkways, streets, subways, tunnels, sewers, mains, power lines, pumping stations, heavy generators, railways, airports, terminals, docks, piers, wharves, ways, lighthouses, buoys, jetties, breakwaters, levees, and canals, and the construction, alteration, maintenance, or repair of such buildings and works.

(3) *Steel* means an alloy that includes at least 50 percent iron, between .02 and 2 percent carbon, and may include other elements.

(b) *Domestic preference.*

(1) This award term and condition implements Section 1605 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) (Pub. L. 111-5), by requiring that all iron, steel, and manufactured goods used in the project are produced in the United States except as provided in paragraph (b)(3) and (b)(4) of this section and condition.

(2) This requirement does not apply to the material listed by the Federal Government as follows:

[Award official to list applicable excepted materials or indicate "none"]

(3) The award official may add other iron, steel, and/or manufactured goods to the list in paragraph (b)(2) of this section and condition if the Federal Government determines that—

- (i) The cost of the domestic iron, steel, and/or manufactured goods would be unreasonable. The cost of domestic iron, steel, or manufactured goods used in the project is unreasonable when the cumulative cost of such material will increase the cost of the overall project by more than 25 percent;
- (ii) The iron, steel, and/or manufactured good is not produced, or manufactured in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
- (iii) The application of the restriction of section 1605 of the Recovery Act would be inconsistent with the public interest.

(c) *Request for determination of inapplicability of Section 1605 of the Recovery Act.*

(1)(i) Any recipient request to use foreign iron, steel, and/or manufactured goods in accordance with paragraph (b)(3) of this section shall include adequate information for Federal Government evaluation of the request, including—

- (A) A description of the foreign and domestic iron, steel, and/or manufactured goods;
- (B) Unit of measure;
- (C) Quantity;
- (D) Cost;
- (E) Time of delivery or availability;
- (F) Location of the project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign iron, steel, and/or manufactured goods cited in accordance with paragraph (b)(3) of this section.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed cost comparison table in the format in paragraph (d) of this section.

(iii) The cost of iron, steel, and/or manufactured goods material shall include all delivery costs to the construction site and any applicable duty.

(iv) Any recipient request for a determination submitted after Recovery Act funds have been obligated for a project for construction, alteration, maintenance, or repair shall explain why the recipient could not reasonably foresee the need for such determination and could not have requested the determination before the funds were obligated. If the

recipient does not submit a satisfactory explanation, the award official need not make a determination.

(2) If the Federal Government determines after funds have been obligated for a project for construction, alteration, maintenance, or repair that an exception to section 1605 of the Recovery Act applies, the award official will amend the award to allow use of the foreign iron, steel, and/or relevant manufactured goods. When the basis for the exception is nonavailability or public interest, the amended award shall reflect adjustment of the award amount, redistribution of budgeted funds, and/or other actions taken to cover costs associated with acquiring or using the foreign iron, steel, and/or relevant manufactured goods. When the basis for the exception is the unreasonable cost of the domestic iron,

steel, or manufactured goods, the award official shall adjust the award amount or redistribute budgeted funds by at least the differential established in 2 CFR 176.110(a).

(3) Unless the Federal Government determines that an exception to section 1605 of the Recovery Act applies, use of foreign iron, steel, and/or manufactured goods is noncompliant with section 1605 of the American Recovery and Reinvestment Act.

(d) *Data.* To permit evaluation of requests under paragraph (b) of this section based on unreasonable cost, the Recipient shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC ITEMS COST COMPARISON			
Description	Unit of measure	Quantity	Cost (dollars)*
<i>Item 1:</i>			
Foreign steel, iron, or manufactured good	_____	_____	_____
Domestic steel, iron, or manufactured good	_____	_____	_____
<i>Item 2:</i>			
Foreign steel, iron, or manufactured good	_____	_____	_____
Domestic steel, iron, or manufactured good	_____	_____	_____
<i>[List name, address, telephone number, email address, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]</i> <i>[Include other applicable supporting information.]</i> <i>*Include all delivery costs to the construction site.]</i>			

Required Use of American Iron, Steel, and Manufactured Goods Covered Under International Agreements Under Section 1605 of the American Recovery and Reinvestment Act of 2009.

The recipient agrees to the following required use of American Iron, Steel, and Manufactured Goods (covered under International Agreements) of Section 1605 of the of the Recovery and Reinvestment Act and in accordance with 2 CFR §176.160 when awarding Recovery Act funds for construction, alteration, maintenance, or repair of a public building or public work that involves iron, steel, and/or manufactured goods materials covered under international agreements, if applicable:

(a) *Definitions.* As used in this award term and condition—

Designated country—

(1) A World Trade Organization Government Procurement Agreement country (Aruba, Austria, Belgium, Bulgaria, Canada, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hong Kong, Hungary, Iceland, Ireland, Israel, Italy, Japan, Korea (Republic of), Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Singapore, Slovak Republic, Slovenia, Spain, Sweden, Switzerland, and United Kingdom); or
(2) A Free Trade Agreement (FTA) country (Australia, Bahrain, Canada, Chile, Costa Rica, Dominican Republic, El Salvador, Guatemala, Honduras, Israel, Mexico, Morocco, Nicaragua, Oman, Peru, or Singapore); or
(3) A United States-European Communities Exchange of Letters (May 15, 1995) country: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovak Republic, Slovenia, Spain, Sweden, and United Kingdom.

Designated country iron, steel, and/or manufactured goods—

(1) Is wholly the growth, product, or manufacture of a designated country; or
(2) In the case of a manufactured good that consist in whole or in part of materials from another country, has been substantially transformed in a designated country into a new and different manufactured good distinct from the materials from which it was transformed.

Domestic iron, steel, and/or manufactured good—

(1) Is wholly the growth, product, or manufacture of the United States; or
(2) In the case of a manufactured good that consists in whole or in part of materials from another country, has been substantially transformed in the United States into a new and different manufactured good distinct from the materials from which it was transformed. There is no requirement with regard to the origin of components or subcomponents in manufactured

goods or products, as long as the manufacture of the goods occurs in the United States.

Foreign iron, steel, and/or manufactured good means iron, steel, and/or manufactured good that is not domestic or designated country iron, steel, and/or manufactured good.

Manufactured good means a good brought to the construction site for incorporation into the building or work that has been—

- (1) Processed into a specific form and shape; or
- (2) Combined with other raw material to create a material that has different properties than the properties of the individual raw materials.

Public building and public work means a public building of, and a public work of, a governmental entity (the United States; the District of Columbia; commonwealths, territories, and minor outlying islands of the United States; State and local governments; and multi-State, regional, or interstate entities which have governmental functions). These buildings and works may include, without limitation, bridges, dams, plants, highways, parkways, streets, subways, tunnels, sewers, mains, power lines, pumping stations, heavy generators, railways, airports, terminals, docks, piers, wharves, ways, lighthouses, buoys, jetties, breakwaters, levees, and canals, and the construction, alteration, maintenance, or repair of such buildings and works.

Steel means an alloy that includes at least 50 percent iron, between .02 and 2 percent carbon, and may include other elements.

(b) *Iron, steel, and manufactured goods.*

(1) The award term and condition described in this section implements—

- (i) Section 1605(a) of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5) (Recovery Act), by requiring that all iron, steel, and manufactured goods used in the project are produced in the United States; and
- (ii) Section 1605(d), which requires application of the Buy American requirement in a manner consistent with U.S. obligations under international agreements. The restrictions of section 1605 of the Recovery Act do not apply to designated country iron, steel, and/or

manufactured goods. The Buy American requirement in section 1605 shall not be applied where the iron, steel or manufactured goods used in the project are from a Party to an international agreement that obligates the recipient to treat the goods and services of that Party the same as domestic goods and services. This obligation shall only apply to projects with an estimated value of \$7,443,000 or more.

(2) The recipient shall use only domestic or designated country iron, steel, and manufactured goods in performing the work funded in whole or part with this award, except as provided in paragraphs (b)(3) and (b)(4) of this section.

(3) The requirement in paragraph (b)(2) of this section does not apply to the iron, steel, and manufactured goods listed by the Federal Government as follows:
[Award official to list applicable excepted materials or indicate "none"]

(4) The award official may add other iron, steel, and manufactured goods to the list in paragraph (b)(3) of this section if the Federal Government determines that—

- (i) The cost of domestic iron, steel, and/or manufactured goods would be unreasonable. The cost of domestic iron, steel, and/or manufactured goods used in the project is unreasonable when the cumulative cost of such material will increase the overall cost of the project by more than 25 percent;
 - (ii) The iron, steel, and/or manufactured good is not produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality; or
 - (iii) The application of the restriction of section 1605 of the Recovery Act would be inconsistent with the public interest.
- (c) *Request for determination of inapplicability of section 1605 of the Recovery Act or the Buy American Act.*
- (1)(i) Any recipient request to use foreign iron, steel, and/or manufactured goods in accordance with paragraph (b)(4) of this section shall include adequate information for Federal Government evaluation of the request, including—
- (A) A description of the foreign and domestic iron, steel, and/or manufactured goods;
 - (B) Unit of measure;
 - (C) Quantity;
 - (D) Cost;
 - (E) Time of delivery or availability;

- (F) Location of the project;
 - (G) Name and address of the proposed supplier; and
 - (H) A detailed justification of the reason for use of foreign iron, steel, and/ or manufactured goods cited in accordance with paragraph (b)(4) of this section.
- (ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed cost comparison table in the format in paragraph (d) of this section.
- (iii) The cost of iron, steel, or manufactured goods shall include all delivery costs to the construction site and any applicable duty.
- (iv) Any recipient request for a determination submitted after Recovery Act funds have been obligated for a project for construction, alteration, maintenance, or repair shall explain why the recipient could not reasonably foresee the need for such determination and could not have requested the determination before the funds were obligated. If the recipient does not submit a satisfactory explanation, the award official need not make a determination.
- (2) If the Federal Government determines after funds have been obligated for a project for construction, alteration, maintenance, or repair that an exception to section 1605 of the Recovery Act applies, the award official will amend the award to allow use of the foreign iron, steel, and/or relevant manufactured goods. When the basis for the exception is nonavailability or public interest, the amended award shall reflect adjustment of the award amount, redistribution of budgeted funds, and/or other appropriate actions taken to cover costs associated with acquiring or using the foreign iron, steel, and/or relevant manufactured goods. When the basis for the exception is the unreasonable cost of the domestic iron, steel, or manufactured goods, the award official shall adjust the award amount or redistribute budgeted funds, as appropriate, by at least the differential established in 2 CFR 176.110(a).
- (3) Unless the Federal Government determines that an exception to section 1605 of the Recovery Act applies, use of foreign iron, steel, and/or manufactured goods other than designated country iron, steel, and/or manufactured goods is noncompliant with the applicable Act.
- (d) *Data.* To permit evaluation of requests under paragraph (b) of this section based on unreasonable cost, the applicant shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC ITEMS COST COMPARISON			
Description	Unit of measure	Quantity	Cost (dollars)*
<i>Item 1:</i>			
Foreign steel, iron, or manufactured good	_____	_____	_____
Domestic steel, iron, or manufactured good	_____	_____	_____
<i>Item 2:</i>			
Foreign steel, iron, or manufactured good	_____	_____	_____
Domestic steel, iron, or manufactured good	_____	_____	_____

[List name, address, telephone number, email address, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]
[Include other applicable supporting information.]
*[*Include all delivery costs to the construction site.]*

Wage Rate Requirements under Section 1606 of the American Recovery and Reinvestment Act.

The recipient agrees to the following wage rate requirements of Section 1606 of the of the Recovery and Reinvestment Act and in accordance with 2 CFR §176.190 when issuing announcements or requesting applications for Recovery Act programs or activities that may involve construction, alteration, maintenance, or repair, if applicable:

When issuing announcements or requesting applications for Recovery Act programs or activities that may involve construction, alteration, maintenance, or repair the agency shall use the award term described in the following paragraphs:

(a) Section 1606 of the Recovery Act requires that all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to the Recovery Act shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. Pursuant to Reorganization Plan No. 14 and the Copeland Act, 40 U.S.C. 3145, the Department of Labor has issued regulations at 29 CFR parts 1, 3, and 5 to implement the Davis-Bacon and related Acts. Regulations in 29 CFR 5.5 instruct agencies concerning application of the standard Davis-Bacon contract clauses set forth in that section. Federal agencies providing grants, cooperative agreements, and loans under the Recovery Act shall ensure that the standard Davis-Bacon contract clauses found in 29 CFR 5.5(a) are incorporated in any resultant covered contracts that are in excess of \$2,000 for construction, alteration or repair (including painting and decorating).

(b) For additional guidance on the wage rate requirements of section 1606, contact your awarding agency. Recipients of grants, cooperative agreements and loans should direct their initial inquiries concerning the application of Davis-Bacon requirements to a particular federally assisted project to the Federal agency funding the project. The Secretary of Labor retains final coverage authority under Reorganization Plan Number 14.

Recipient Responsibilities regarding tracking and documenting Expenditures under the American Recovery and Reinvestment Act of 2009.

The recipient agrees to the following tracking and documenting responsibilities required by Section 1606 of the Recovery and Reinvestment Act and in accordance with 2 CFR §176-210, if applicable:

- (a) To maximize the transparency and accountability of funds authorized under the American Recovery and Reinvestment Act of 2009 (Pub. L. 111– 5) (Recovery Act) as required by Congress and in accordance with 2 CFR 215.21 “Uniform Administrative Requirements for Grants and Agreements” and OMB Circular A–102 Common Rules provisions, recipients agree to maintain records that identify adequately the source and application of Recovery Act funds. OMB Circular A–102 is available at <http://www.whitehouse.gov/omb/circulars/a102/a102.html>.
- (b) For recipients covered by the Single Audit Act Amendments of 1996 and OMB Circular A–133, “Audits of States, Local Governments, and Non-Profit Organizations,” recipients agree to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF–SAC) required by OMB Circular A–133. OMB Circular A–133 is available at <http://www.whitehouse.gov/omb/circulars/a133/a133.html>. This shall be accomplished by identifying expenditures for Federal awards made under the Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF–SAC by CFDA number, and inclusion of the prefix “ARRA-” in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF–SAC.
- (c) Recipients agree to separately identify to each subrecipient, and document at the time of subaward and at the time of disbursement of funds, the Federal award number, CFDA number, and amount of Recovery Act funds. When a recipient awards Recovery Act funds for an existing program, the information furnished to subrecipients shall distinguish the subawards of incremental Recovery Act funds from regular subawards under the existing program.
- (d) Recipients agree to require their subrecipients to include on their SEFA information to specifically identify Recovery Act funding similar to the requirements for the recipient SEFA described above. This information is needed to allow the recipient to properly monitor subrecipient expenditure of ARRA funds as well as oversight by the Federal awarding agencies, Offices of Inspector General and the Government Accountability Office.

Requirement to Comply with Provision of Section 902 of the American Recovery and Reinvestment Act of 2009

Section 902 of the ARRA requires that each contract awarded using ARRA funds must include a provision that provides the U.S. Comptroller General and his representatives with the authority to:

- (1) Examine any records of the contractor or any of its subcontractors, or any State or local agency administering such contract, that directly pertain to, and involve transactions relating to, the contract or subcontract; and
- (2) Interview any officer or employee of the contractor or any of its subcontractors, or of any State or local government agency administering the contract, regarding such transactions.

Accordingly, the Comptroller General and his representatives shall have the authority and rights prescribed under Section 902 of the ARRA with respect to contracts funded with recovery funds made available under the ARRA. Section 902 further states that nothing in 902 shall be interpreted to limit or restrict in any way any existing authority of the Comptroller General.

Required Whistleblower Protection Under Section 1553 of the American Recovery and Reinvestment Act of 2009.

[Section 1153 of Division A, Title XV of the American Recovery and Reinvestment Act of 2009, P.L. 111-5](#), provides protections for certain individuals who make specified disclosures relating to recovery Act funds. Any non-federal employer receiving recovery funds is required to post a notice of the rights and remedies provided under this section of the Act.

Required Provision Noting Authority of Inspector General in of Section 1515(a) of the American Recovery and Reinvestment Act of 2009

Section 1515(a) of the ARRA provides authority for any representatives of the United States Inspector General to examine any records or interview any employee or officers working on this contract. The contractor is advised that representatives of the Inspector General have the authority to examine any record and interview any employee or officer of the contractor, its subcontractors or other firms working on this contract. Section 1515(b) further provides that nothing in this section shall be interpreted to limit or restrict in any way any existing authority of an Inspector General.

Required Provision to Comply with NEPA and NHPA *Construction, Renovation, and Remodeling Projects Only*

ARRA funded projects may be required to comply with the National Environmental Policy Act (NEPA), the National Historic Preservation Act (NHPA), and related statutes, including requirements for plans and projects to be reviewed and documented in accordance with those processes. If the ARRA program from which funds are to be expended requires such language, then NEPA and NHPA requirements may need to be included in contracts or sub-grants. Such language would be dependent on federal oversight agency guidance as well as from the following: http://nepa.gov/nepa/regs/CEQ_1609_NEPA_Guidance_03-12.pdf (NEPA only)

Requirement to Acknowledge Availability and Use of Funds

Contractors understand and acknowledge that any and all payment of funds or the continuation thereof is contingent upon funds provided solely by ARRA or required state matching funds. Pursuant to Section 1604 of the ARRA, contractors agree not to undertake or make progress toward any activity using recovery funds that will lead to the development of such activity as casinos or other gambling establishments, aquariums, zoos, golf courses, swimming pools or any other activity specifically prohibited by the Recovery Act.

Requirement Regarding Federal, State and Local Tax Obligations

By submission of a proposal, contractors and subcontractors assert and self-certify that all Federal, State and local tax obligations have been or will be satisfied prior to receiving recovery funds.

Requirement to Comply with Anti-Discrimination and Equal Opportunity Statutes

Pursuant to Section 1.7 of the guidance memorandum issued by the United States Office of Management and Budget on April 3, 2009, ARRA Recovery funds must be distributed in accordance with all anti-discrimination and equal opportunity statutes, regulations, and Executive Orders pertaining to the expenditure of funds.

Requirement to Comply With All Other ARRA Requirements

The contractor will comply with any other requirements of ARRA, upon notification by this entity.

**Requirement to Comply with E-Verification Provision of Section 71-11-3 of the
Mississippi Code of 1972, as amended**

The respondent represents and warrants that it will ensure its compliance with the Mississippi Employment Protection Act (§71-11-3 of the Mississippi Code of 1972, as amended) and will register and participate in the status verification system for all newly hired employees. The term "employee" as used herein means any person that is hired to perform work within the State of Mississippi. As used herein, "status verification system" means the Illegal Immigration Reform and Immigration Responsibility Act of 1996 that is operated by the United States Department of Homeland Security, also known as the E-Verify Program, or any other successor electronic verification system replacing the E-Verify Program. Contractor agrees to maintain records of such compliance and, upon request of the State, to provide a copy of each such verification to the State. Contractor further represents and warrants that any person assigned to perform services hereunder meets the employment eligibility requirements of all immigration laws of the State of Mississippi. Contractor understands and agrees that any breach of these warranties may subject contractor to the following:

- (a) termination of this Agreement and ineligibility for any State or public contract in Mississippi for up to three (3) years with notice of such cancellation/termination being made public;
- (b) the loss of any license, permit, certification, or other document granted to contractor by an agency, department or governmental entity for the right to do business in Mississippi for up to one (1) year or both.
- (c) In the event of such termination/cancellation, contractor would also be liable for any additional costs incurred by the State due to contract cancellation or loss of license or permit.

Exhibit 2
OTHER ASSURANCES AND CERTIFICATIONS

- The District will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; the State will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and the State will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Any LEA receiving funding under this program will have on file with the State a set of assurances that meets the requirements of section 442 of the General Education Provisions Act (GEPA) (20 U.S.C. 1232e).
- To the extent applicable, an LEA will include in its local application a description of how the LEA will comply with the requirements of section 427 of GEPA (20 U.S.C. 1228a). The description must include information on the steps the LEA proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede access to, or participation in, the program.
- The district will comply with the following provisions of Education Department General Administrative Regulations (EDGAR), as applicable:
 - 34 CFR Part 74 --Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations
 - 34 CFR Part 76 -- State-Administered Programs, including the construction requirements in section 75.600 through 75.617 that are incorporated by reference in section 76.600
 - 34 CFR Part 77 -- Definitions that Apply to Department Regulations
 - 34 CFR Part 80 -- Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, including the procurement provisions
 - 34 CFR Part 81 -- General Education Provisions Act—Enforcement
 - 34 CFR Part 82 -- New Restrictions on Lobbying
 - 34 CFR Part 85 -- Governmentwide Debarment and Suspension (Nonprocurement)

Trafficking in Persons

The Department of Education adopts the requirements in the Code of Federal Regulations at 2 CFR 175 and incorporates those requirements into this grant through this condition. The grant condition specified in 2 CFR 175.15(b) is incorporated into this grant with the following changes. Paragraphs a.2.ii.B and b.2.ii. are revised to read as follows:

"a.2.ii.B. Imputed to you or the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 34 CFR part 85."

"b.2.ii. Imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 34 CFR part 85."

Under this condition, the Secretary may terminate this grant without penalty for any violation of these provisions by the grantee, its employees, or its subrecipients.

**PROHIBITION OF TEXT MESSAGING AND EMAILING
WHILE DRIVING DURING OFFICIAL FEDERAL
GRANT BUSINESS**

Federal grant recipients, sub recipients and their grant personnel are prohibited from text messaging while driving a government owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or email when driving.

Recipients must comply with these conditions under Executive Order 13513, "Federal Leadership On Reducing Text Messaging While Driving," October 1, 2009.

REQUEST FOR ADDITIONAL FUNDS

INSTRUCTIONS: Complete proposal for each applicant school. All sections must be completed.

A. Fidelity of Implementation & Sustainability

Answer the following questions to demonstrate that the LEA and school have a record of implementing SIG with fidelity, the capacity to expend additional funds effectively, and a plan to sustain the enhancement initiative.

a) Describe the school and LEA's previous successful experience managing and implementing 1003(g) SIG, including financial and programmatic implementation (i.e. evidence from Mississippi STAR, annual goals/benchmark progress, implementation monitoring reports).

b) Describe in detail the school's request for additional funds, noting evidence of research-based practice and an explanation of how additional funds enhance current implementation of the SIG process.

c) Explain the role that district executive leadership, i.e., the Superintendent or Conservator, will have in implementing the SIG enhancement work.

d) How will the LEA and school internally monitor implementation?

e) Describe how the LEA and school will support the sustainability of reforms initiated with one-year enhancement funds.

B. Alignment with the Needs Assessment

To receive enhancement funding for the 2012-2013 school year, SIG schools must demonstrate their current SIG work could be enhanced with additional funding to meet unaddressed needs. In the chart below, describe the unaddressed needs, the data supporting these needs, and how SIG funds will specifically meet this need. Schools should ONLY address dimensions of the needs assessment relevant to the funding request; schools should write “Not Applicable” in unused cells.

Please note that the “data/evidence” column requires **quantitative data**, such as evidence from the school’s Mississippi STAR reports, internal or external assessments, surveys, etc.

Dimension	Areas of Improvement /Priority Needs	Data/Evidence to Support Identification of Priority Needs	How need will be met with SIG funds
Student Achievement			
Curriculum and Instruction			
School Context and Organization			
Professional Development			
Family and Community Involvement			

C. Alignment with Intervention Requirements and Options

For this enhancement application, schools must choose one or more required or optional elements to address in greater depth. A description of these elements for the turnaround and transformation models is provided in the instructions on pages ii-vi. Below, describe how the school's proposal is aligned to one or more of the required or optional elements for the school's model.

Intervention Requirement	Description of How Proposal Addresses the Element	Alignment with current SIG plan
<i>U.S. Department of Education element</i>	<i>Detailed description of how the school proposal fulfills the element</i>	<i>Description of how the proposed request will enhance the on-going SIG work in the school</i>
TURNAROUND REQUIRED ELEMENTS		
[Select one]		
[Select one]		
[Select one]		
TRANSFORMATION REQUIRED ELEMENTS		
[Select one]		
[Select one]		
[Select one]		
OPTIONAL ELEMENTS		

D. Implementation Milestones

In the chart below, delineate important milestones which demonstrate the school is implementing the additional work fully and effectively throughout the grant term. The milestones in this chart should encompass work that takes place from the start of the 2012-2013 school year to whenever the proposed expansion initiative is completed (but no later than September 30, 2013).


Milestone	Individual Responsible	Evaluation Metric	Timeline for Completion	
			Start	End
<i>What major milestones must be met throughout the year in order to demonstrate full and effective implementation of the model?</i>	<i>Who will be responsible for ensuring that the milestone is met?</i>	<i>How will the LEA judge that a milestone has been satisfactorily met?</i>	<i>When will the work begin and end?</i> [USE SPECIFIC DATES , such as month/year, rather than open-ended dates, such as “on-going.”]	

BUDGET PAGES

COVER SHEET
Mississippi Department of Education
School Improvement Grant 1003(g)
LEA Enhancement Application
2012-2013


Section A		
The Cover Page is designed to populate certain fields* with your district information. Please verify the data in these fields.		
District Code	Please enter your district's MSIS code	
District Name *		
School Code	Please enter your school's MSIS code	
School Name *		
NCES LEA ID *	http://nces.ed.gov/ipeds/datasearch/	
NCES School ID *	http://nces.ed.gov/ipeds/datasearch/	
Name of District Contact		
Phone		
Fax		
E-Mail		
District Address		
Name of Superintendent (typed)		
Section B - BUDGET SUMMARY		
Check the appropriate Box Tier I <input type="checkbox"/> Tier II <input type="checkbox"/> Tier III <input type="checkbox"/>		TOTAL
School Level Activities		
LEA - Level Activities		
Total Budget		
For MDE use only:		
Mississippi Department of Education Approval		
Linda C. Reeves, Bureau Director - SIG Financial Management		
Dr. Kim S. Benton, Bureau Manager		
Date of Approval		

BUDGET SUMMARY
FY 2012-2013


FY 2011-2012				School District Name 0				ORIGINAL BUDGET		2/13/12 3:00 PM								
School Improvement Grant 1003(g)				School Name 0														
				1 YEAR Budget Summary				Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Pur Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	
				100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999					
Instruction																		
1105 Pre-Kindergarten Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1110 - Kindergarten Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1120 - Elementary Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1130 - Middle-Junior High Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1140 - High School Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1260 - After School Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1270 - Remediation Extended School Year				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1310 - 1390 Adult/Continuing Education				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1410 - 1420 Summer School Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1930 - 1990 Other Instructional Programs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2110 - 2119 Attendance & Social Work Svcs				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2120 - 2129 Guidance Services				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2130 - 2139 Health Services				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2190 - Other Support Services - Students				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2210 - 2290 Improvement of Instruction				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2220 - 2229 Educational Media Services				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total Instruction:				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
General Administration																		
2330 - Special Area Administration				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total General Administration:				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Other Expenditures - Instr Support																		
2710 - 2799 Student Transportation Services				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2800 - 2899 Central Support Services (Tech)				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total Other Expenditures - Instr Support:				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Other Expenditures - Noninstr Support																		
3900 - 3999 Other Noninstructional				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Other Expenditures - Non-Instr Support				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Current Oper Expenditures (1000-3999)				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NON-REVENUE TRANSACTIONS:																		
7110 Indirect Costs Transfers Out				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total NonRevenue Transactions				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Expenditures & NonRevenue Transaction:				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

NOTICE: THE AMOUNTS REPORTED IN THIS SUMMARY ARE THE SUM OF THE DISTRICT LEVEL BUDGET PAGES AND SCHOOL LEVEL BUDGET PAGES FOR YEAR 1.
THE CELLS HAVE BEEN PROTECTED TO PREVENT DATA ENTRY ON THIS PAGE.


**DISTRICT BUDGET
FY 2012-2013**

FY 2011-2012		District Name: 0		School Name: 1/6/10 12:00 AM		ORIGINAL BUDGET							
School Improvement Grant 1003(g)													
 FY 2011-2012 District Budget		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
Instruction													
1105 Pre-Kindergarten Programs											\$ -		
1110 - Kindergarten Programs											\$ -		
1120 - Elementary Programs											\$ -		
1130 - Middle-Junior High Programs											\$ -		
1140 - High School Programs											\$ -		


**DISTRICT BUDGET
FY 2012-2013**

FY 2011-2012		District Name: 0		School Name: 15/00 12:00 AM		ORIGINAL BUDGET							
School Improvement Grant 1000(g)													
	FY 2011-2012 District Budget	Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Pur Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
	1260 - After School Programs										0		
	1270 - Remediation Extended School Year										0		
	1310 - 1390 Adult/Continuing Education										0		
	1410 - 1420 Summer School Programs										0		
	1530 - 1990 Other Instructional Programs										0		


**DISTRICT BUDGET
FY 2012-2013**

FY 2011-2012			District Name: 0			School Name: 1/6/00 12:00 AM			ORIGINAL BUDGET				
School Improvement Grant 1003(g)													
 FY 2011-2012 District Budget		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
2110 - 2119 Attendance & Social Work Svs.											\$ -		
2120 - 2129 Guidance Services											\$ -		
2130 - 2139 Health Services											\$ -		
2190 - Other Support Services - Students											\$ -		
2210 - 2290 Improvement of Instruction											\$ -		


**DISTRICT BUDGET
FY 2012-2013**

FY 2011-2012		District Name: 0		School Name: 1,600 12:00 AM		ORIGINAL BUDGET							
School Improvement Grant 1003(g)													
	FY 2011-2012 District Budget	Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
2220 - 2229 Educational Media Services											\$ -		
Total Instruction:		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		


**DISTRICT BUDGET
FY 2012-2013**

FY 2011-2012			District Name: 0			School Name: 16/00 12:00 AM			ORIGINAL BUDGET				
School Improvement Grant 1003(g)													
 FY 2011-2012 District Budget		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
General Administration		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
2330 - Special Area Administration											\$ -		
Total General Administration:		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Other Expenditures - Instructional Support													
2710 - 2799 Student Transportation Services											\$ -		
2800 - 2899 Central Support Services (Tech)											\$ -		
Total Other Expenditures - Instructional Support:		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Other Expenditures - NonInstructional Support													
3900 - 3999 Other Noninstructional											\$ -		


DISTRICT BUDGET
FY 2012-2013

FY 2011-2012			District Name: 0			School Name: 16100 12:00 AM			ORIGINAL BUDGET					
School Improvement Grant 1003(g)														
	FY 2011-2012 District Budget		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
			100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
Total Other Expenditures - Non-Instructional Support			\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -		
Total Current Oper Expenditures (1000-3999)			\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -		
NON-REVENUE TRANSACTIONS:														
7110 Indirect Costs Transfers Out											\$ - -			
Total NonRevenue Transactions			\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -		
Total Expenditures & NonRevenue Transactions			\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -	\$ - -		


SCHOOL BUDGET
FY 2012-2013

FY 2011-2012		District Name: 0				School Name:		1/5/00 12:00 AM		ORIGINAL BUDGET			
School Improvement Grant 1003(g)													
 FY 2011-2012 School Budget Summary		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
Instruction													
1105 Pre-Kindergarten Programs											\$ -		
1110 - Kindergarten Programs											\$ -		
1120 - Elementary Programs											\$ -		
1130 - Middle-Junior High Programs											\$ -		
1140 - High School Programs											\$ -		
1200 - After School Programs											\$ -		


**SCHOOL BUDGET
FY 2012-2013**

FY 2011-2012		District Name: 0		School Name: 1/10/10 12:00 AM		ORIGINAL BUDGET							
School Improvement Grant 1003(g)													
 FY 2011-2012 School Budget Summary		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	PTE	NARRATIVE
		130-199	230-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	130-999		
1270 - Remediation Extended School Year											\$ -		
1310 - 1390 Adult/Continuing Education											\$ -		
1410 - 1420 Summer School Programs											\$ -		
1930 - 1990 Other Instructional Programs											\$ -		
2110 - 2119 Attendance & Social Work Svc.											\$ -		
2120 - 2129 Guidance Services											\$ -		


SCHOOL BUDGET
FY 2012-2013

FY 2011-2012		District Name: 0					School Name: 1/10/10 12:05 AM			ORIGINAL BUDGET				
School Improvement Grant 1003(g)														
 FY 2011-2012 School Budget Summary		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	PTB	NARRATIVE	
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999			
2130 - 2139 Health Services											0	-		
2190 - Other Support Services - Students											0	-		
2210 - 2290 Improvement of Instruction											0	-		
2220 - 2229 Educational Media Services											0	-		
Total Instruction:		0	-	0	-	0	-	0	-	0	-	0	-	
General Administration														


SCHOOL BUDGET
FY 2012-2013

FY 2011-2012		District Name: 0		School Name: 1/5/00 12:00 AM		ORIGINAL BUDGET								
School Improvement Grant 1003(g)														
	FY 2011-2012 School Budget Summary	Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	FTE	NARRATIVE	
		100-109	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999			
2330 - Special Area Administration											0	-		
Total General Administration:		0	-	0	-	0	-	0	-	0	-	0	-	

SCHOOL BUDGET
FY 2012-2013

FY 2011-2012		District Name: 0		School Name: 1/5/00 12:00 AM		ORIGINAL BUDGET							
School Improvement Grant 1003(g)													
 FY 2011-2012 School Budget Summary		Employee Salaries	Employee Benefits	Prof Services	Purchased Services	Other Prof Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	PTE	NARRATIVE
		100-199	200-299	300-399	400-499	500-599	600-699	700-799	800-899	900-999	100-999		
Other Expenditures - Instructional Support													
2710 - 2799 Student Transportation Services											\$ -		
2800 - 2899 Central Support Services (Tech)											\$ -		
Total Other Expenditures - Instructional Support:		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Other Expenditures - Noninstructional Support													
3900 - 3999 Other Noninstructional											\$ -		
Total Other Expenditures - Non-Instructional Support		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Total Current Oper Expenditures (1000-3999)		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		

SCHOOL BUDGET
FY 2012-2013

FY 2011-2012		District Name: 0		School Name: 1/5/00 12:06 AM		ORIGINAL BUDGET									
School Improvement Grant 1003(g)															
		FY 2011-2012 School Budget Summary		Employee Salaries	Employee Benefits	Post Services	Purchased Services	Other For Services	Supplies	Property	Other Objects	Other Uses	Total All Objects	PIE	NARRATIVE
				100-100	200-200	300-300	400-400	500-500	600-600	700-700	800-800	900-900	100-999		
NON-REVENUE TRANSACTIONS:															
7110 Indirect Costs Transfers Out												\$ -			
Total NonRevenue Transactions				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Total Expenditures & NonRevenue Transactions				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		